

Submitters Code of Conduct

The submitters should have measures in place on governance of the polling process for mitigation of potential conflicts of interest, outlining the following aspects:

1. Participation in the polling process in good faith.
2. Requisite knowledge base and expertise of the personnel participating in the polling process.
3. Consideration of factors such as market transactions, market quotes, expert judgement, etc. for arriving at the poll submission.
4. Ensure that participation in the polling process is not misused to inappropriately influence the valuation of securities.

As part of the polling process, Submitters should submit polls for securities either on LSEG Messenger (Reuters Messenger) or CRISIL recorded lines / Polling Module.

CRISIL reserves the right to not consider the polls in case of non-adherence to the above governance principles.

About CRISIL Limited

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It has delivered independent opinions, actionable insights, and efficient solutions to over 100,000 customers through businesses that operate from India, the United States (US), the United Kingdom (UK), Argentina, Poland, China, Hong Kong, Singapore, Australia, Switzerland, Japan, Colombia and the United Arab Emirates (UAE).

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